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PM Securities Pvt. Ltd.

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Since 1989

Sr. No. : 8222

Date:

Policy - Conflict of Interest

A “conflict of interest” exists when a person’s private interest interferes in any way with the interests of the company. A conflict situation can arise when an employee or officer takes actions or has interests that may make it difficult to perform company work objectively and effectively. Conflicts of interest also may arise when

- (a) an employee or officer receives personal benefits from third parties as a result of his or her position in the company. For example, loans or guarantees of obligations of loans to employees and their family members may create conflicts of interest.
- (b) It is almost always a conflict of interest for a company employee to work simultaneously for a competitor, customer or supplier. An employee or officer is not allowed to work for a competitor as a consultant or board member.
- (c) Any employee who wishes to perform consulting services of any kind must inform and obtain prior approval from the management. In no event may an employee perform consulting services for a competitor. Additionally, outside consulting is viewed as a conflict of interest for salaried employees who are expected to devote their professional efforts solely to the company. The best policy is to avoid any direct or indirect business connection with our customers, suppliers or competitors, except on our behalf.
- (d) Acceptance of gifts in a business relationship can also result in a conflict of interest. No gift or entertainment should ever be accepted by any company employee, directly or indirectly through a family member or agent unless it: (1) is not a cash gift, (2) is consistent with customary business practices, (3) is not excessive in value, (4) cannot be construed as a bribe or payoff and (5) does not violate any laws or regulations.

Conflicts of interest may not always be clear-cut, so necessary advice may be taken from management in case of any confusion.

This policy is approved by all Board Of Directors.

For PANKAJ MANGALDAS SEC PVT LTD

Atul M Thakkar(Director)



Deep rooted relationships.



Timely Ideas.



Immutable Ethics.



Efficient Execution.

PM Securities is the trade name of Pankaj Mangaldas Securities Pvt. Ltd.

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